

REV. 04/01  
For Other Than A Small Entity

Attorney Docket No. CF/072

Applicant : Timothy H. Heaton

For : GRAPHICAL USER INTERFACE RELATED TO NON-  
STANDARD TRADING OF FINANCIAL INSTRUMENTS

22388 U.S. PTO

10/661763

09/11/03

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV132189495US.

Date of Deposit \_\_\_\_\_.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop Patent Application, the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Julian Garcia

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR EXECUTED  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the  
[X] specification; [X] claims; [X] abstract; [X] executed  
declaration and power of attorney; [X] Nonpublication  
Request Under 37 C.F.R. § 1.213(a); [X] Preliminary  
Amendment, for the above-identified patent application.

Also transmitted herewith are:

[X] 6 sheets of:

[X] Formal drawings.

☐ Informal drawings. Formal drawings will be filed during the pendency of this application.

☐ Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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from which priority is claimed.

☒ An assignment of the invention to eSpeed, Inc.

☒ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$ 750.00
TOTAL CLAIMS	16	- 20 = 0	X \$18	= \$ 0.00
INDEPENDENT CLAIMS	2	- 3 = 0	X \$84	= \$ 0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280	= \$ 0.00
TOTAL				<u>\$ 750.00</u>

- [X] A check in the amount of \$ 750.00 in payment of the filing fee is transmitted herewith.
- [ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



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Attorney Docket No. CF/072

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Timothy H. Heaton  
Filed : Herewith  
For : GRAPHICAL USER INTERFACE RELATED TO  
NON-STANDARD TRADING OF FINANCIAL INSTRUMENTS

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, V.A. 22313-1450

NONPUBLICATION REQUEST  
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicant hereby requests that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.



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